



**PATENT**

**Attorney Docket No.: 788-22-PCT-US (R7470)**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>APPLICANT(S):</b>	Riccotta, John, et al.	<b>ART UNIT:</b>	3709
<b>APPL. NO.:</b>	10/501,066	<b>EXAMINER:</b>	Stewart, Jason-Dennis Neilken
<b>FILING DATE:</b>	January 5, 2005	<b>DATED:</b>	September 14, 2007

**FOR: APPARATUS AND METHOD FOR FIXATION  
OF VASCULAR GRAFTS**

Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUBMISSION OF REPLACEMENT DRAWING SHEET**

Sir:

Enclosed please find on formal drawing sheet in to replace the one drawing sheet on file that includes Figs. 20A, 20B and 20B. No new matter is presented. Changes made to the replacement drawing sheet are shown in red ink on the attachment to the Amendment contemporaneously being submitted to the Office Action in this application.

Respectfully submitted,

John F. Gallagher III  
Reg. No. 47,234  
Attorney for Applicant(s)

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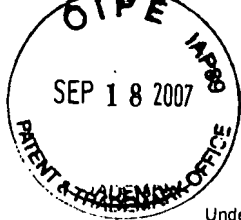
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**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 (a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date immediately below.

Dated: September 14, 2007

  
\_\_\_\_\_  
Frances Lepre

**STATEMENT UNDER 37 CFR 3.73(b)**

Atty. Docket No.788-22-PCT-US

Applicant/Patent Owner: The Research Foundation of State University of New YorkApplication No./Patent No.: 10/501,066 Filed/Issue Date: January 5, 2005

Entitled:

The Research Foundation of State University of New YorkNon-Profit Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %).

in the patent application/patent identified above by virtue of either:

A ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 016183, Frame 0366, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
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3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

September 14, 2007

Date

John F. Gallagher, Registration No. 47,234(516) 228-3565

Printed or Typed Name

Telephone Number

Attorney

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



3709  
FW

PATENT APPLICATION  
Attorney Docket: **788-22-PCT-US (R-7470)**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): John Riccotta et al.                      Examiner: Jason-Dennis Neilken STEWART

Serial No.: 10/501,066                      Art Unit 3709

Filed: January 5, 2005                      Dated: September 14, 2007

For: APPARATUS AND METHOD FOR FIXATION OF VASCULAR GRAFTS

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT TRANSMITTAL FORM**

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. §§1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity under 37 C.F.R. §§1.9 and 1.27 is enclosed.
- ☒ No additional fee is required.

For	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate (Small Entity)	Addit. Fee	Rate	Addit. Fee
TOTAL CLAIMS*	22	22	0	x 25 =	\$0	x 50 =	\$0
INDEPENDENT CLAIMS	3	3	0	x100 =	\$0	x200 =	\$0
<input type="checkbox"/> First Presentation of Multiple Dep. Claim				180		360	\$0

\* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

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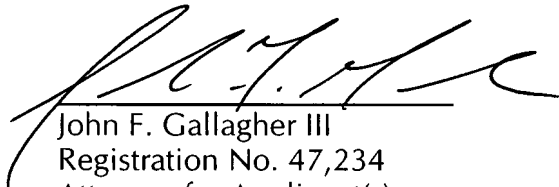
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Dated: September 14, 2007

Frances Lepre

- ☐ Please charge Deposit Account No. 50-4053 in the amount of \$\_\_\_\_. Two (2) copies of this sheet are enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §§1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-4053. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-4053 therefor. A DUPLICATE OF THIS SHEET IS ENCLOSED.

Respectfully submitted,



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